terrific first paragraph

Revelations in Schubert's Ihr Bild

Schubert's setting of Heine's text in *Ihr Bild*, taken from the 1828 collection *Schwanengesang*, both conforms to the parameters of the text and comments upon it. This paper will examine the content of the poem and the manner in which Schubert sets the text drawing attention to places in which the music adds to or works against the text. I will first argue that the poem is a series of dramatic revelations in which the final line casts a retrospective filter on the previous material. Then I will suggest how Schubert mirrors the retrospective lens-shifts by fulfilling expectations that were subtly implied earlier.

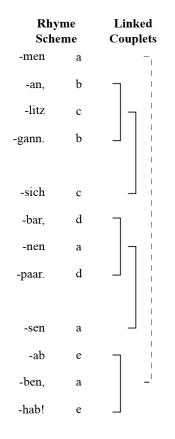
Heine's three-stanza text is remarkably regular in its construction. Example 1 shows both the pattern of iambic feet and the rhyme scheme:

	Accent Scheme	Rhyme Scheme
$V/-V/-V/-V_{-men}$	А	а
$V/-V/-V/-a_{-an}$	В	b
$V/-V/-V/-V_{-litz}$	А	c
$()/(V)V/(V)V/_{-gann.}$	B'	b
V/-V/-V/-V-sich	А	с
$V/-V/-V/{-bar,}$	В	d
V/-V/-V/-V-nen	А	а
V/(V)V/-V/--paar.	В"	d
$V/-V/-V/-V_{-sen}$	А	а
$V / - V / (V) V / \frac{1}{ab}$	В'''	e
$\frac{\nabla f - \nabla f(\nabla) \nabla f}{\nabla f - \frac{\partial F}{\partial b}}$	A'	а
$(V)V/-V/-V/{-hab!}$	B****	e

i wouln't say that Anlitz rhymes with heimlich, would you?

Example 1: The regular accent and rhyme scheme from Heine's text "Ihr Bild."

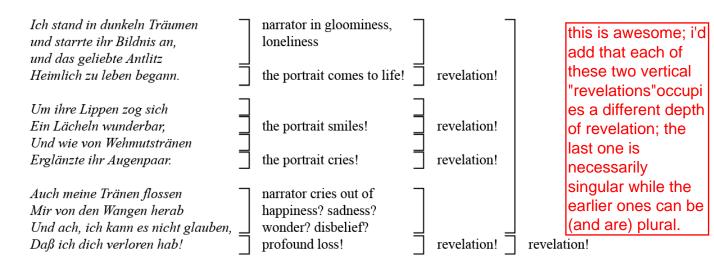
Each of the stanzas consists of alternating accent patterns A and B. Unsurprisingly, some small variations exist to accommodate additional unstressed syllables, particularly in pattern B. The stressed syllables, however, consistently occur three per line. The rhyme scheme deserves a bit more attention as its surface appearance hides a series of deeper connections:



Example 2: Linked rhyming couplets in Heine's text "Ihr Bild."

Heine's text consistently rhymes the end of the second and fourth lines of each stanza. Additionally, the rhyme scheme unfolds as a chain-link series of couplets, tying every other line integrally to another. Even the unlinked first and penultimate line can be theoretically linked across the poem so that no line is missing its mate. This last feature indicates a dramatic link between the beginning and end of the poem. Furthermore, the sturdy, close-knit structure of the rhyme propels it forward and demonstrates a high degree of internal consistency that will be mirrored in the way Schubert sets the text. this is wonderful writing.

The structure of the poem's content is critical to understanding Heine's approach to dramatic revelation. The word "revelation" here is meant to account for the phenomenon where idea A is only completely understood in light of and with the full implication of a sequential idea B. Idea B represents a crucial bit of information that retrospectively colors an interpretation of idea A. Idea B is likely the goal and consummation of expectations prompted by idea A, but often those expectations remain hidden until the presentation of B. In this case, idea B functions to both bring to the surface subterranean expectations in idea A and realize the implications of those expectations. Such revelations are found in each of the text's three stanzas and the poem as a whole:



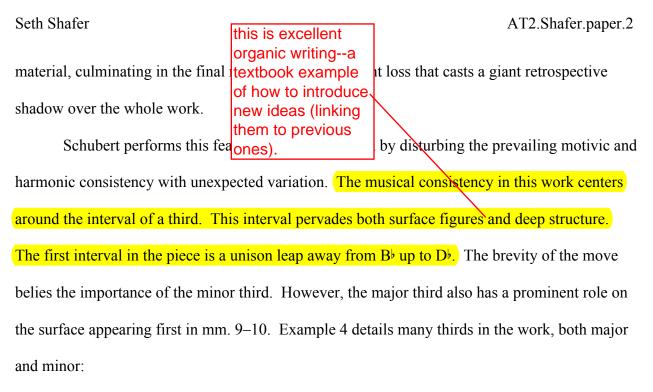
Example 3: Revelations in each stanza and the entire poem in Heine's "Ihr Bild."

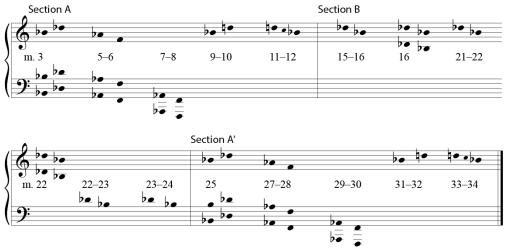
The first revelation in stanza one is that the portrait that occupies the narrator's gloomy daydreams comes to life. The final line in the stanza is a turning point beginning with the word *Heimlich*, literally translating to "secretly." The secret life of the painting opens the door into a dream-like world in which the narrator watches the life in the portrait blossom, first with a smile

yes

and then with glittering tears. This second stanza is dominated by the compound word *Wehmutstränen* meaning "melancholy tears," casting this otherwise glad meeting between the narrator and portrait in a shadow of pity and foreboding. The second stanza contains a pair of revelations each relating to the miraculous vitality of the portrait: she smiles, she cries. The final and most important revelation comes as the narrator cries out in a mix of wonder and disbelief: his beloved is forever lost to him. The exact nature of the narrator's loss evades clear definition. However, the tone of the first two stanzas indicates a deeper sense of loss than unrequited love or physical separation. The phrase *dunkeln Träumen*, "dark dreams," and the pervading melancholy in stanza two promote a theory of profound and unrecoverable loss. The last line of the text acts as both a local revelation to the third stanza and as a larger revelation for the entire poem. All previous material must be reconsidered in light of the narrator's loss: the tears shared excellent writing!

Schubert's setting of the text incorporates musical revelations that rely on hidden implications made explicit only by their realization. A microcosm of this phenomenon exists at the outset: the octave B<sup>b</sup>s in mm. 1–2 present a bare and solemn scene. The implication of these sonorities is unknown and might be associated with sleep, tenderness, or love. As the first line unfolds, it becomes retrospectively apparent that the octaves represent the narrator's dark dreams. The content of those dreams occupies the majority of the piece, providing even more meaning to the loneliness of the opening bars. The concluding line of the text provides the crucial piece to the puzzle and suggests that those gloomy octaves are in fact a funeral bell toll. The entirety of the piece unfolds in this fashion, gradually revealing clues about previous

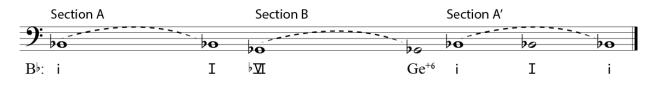




Example 4: Major and minor thirds on the surface of Schubert's Ihr Bild.

There is an obvious preponderance of B<sup>b</sup> which acts as both departure and destination for thirds. Many thirds occur between B<sup>b</sup> and D<sup>b</sup> or D<sup>t</sup>. The struggle between D<sup>b</sup> and D<sup>t</sup> highlights a clear harmonic device that Schubert employs in the piece: mode switching. One critical question for any listener is whether this piece is in B<sup>b</sup> major or B<sup>b</sup> minor. By the numbers, it spends nearly equal time in both modes. It begins and ends in B<sup>b</sup> minor, cadencing in B<sup>b</sup> minor only once in the final measure. In contrast, three cadences occur in B<sup>b</sup> major in mm. 12, 14, and 34. The mode switching causes some confusion, but as we shall see, Schubert uses it as a vehicle to communicate revelation.

Another critical question is the relationship of the two A sections in  $B^{\downarrow}$  major/minor of this ternary form to the B section in  $G^{\downarrow}$  major. Example 5 shows a large-scale map of the key centers in relation to  $B^{\downarrow}$ :



Example 5: A large-scale tonal map showing a submediant relationship in Schubert's Ihr Bild.

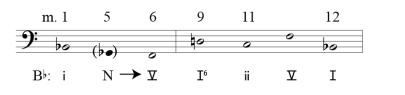
Schubert's choice of the submediant for the B section is by no means unusual. Besides enabling a return to B<sup>b</sup> through a German augmented sixth chord, this harmonic choice acts as a deep structural third, linking it to the many surface level thirds. The integration of the micro into the macro is one possible way to connect Heine's chain-link rhyming couplets with Schubert's setting. As the text is imbued with an internally consistent rhyme scheme, so the music is internally consistent in its pitch structure.

Besides the importance of thirds, the piece exhibits regularity in a number of other ways. One source of regularity is the rhythmic scheme of the vocal part:

Example 6: Rhythmic regularity in the vocal part from Schubert's Ihr Bild.

With only a few small variations, the rhythm of the vocal line is largely consistent with itself. This matches the regularity of the poetic accent in the text. One place where Schubert works against the text is in the middle of both lines from section B (mm. 16 and 20). Here, unlike in the A sections where a stressed half note on the down beat resolves to a quarter note in beat three, Schubert flips the pattern to quarter–half disrupting the textual accent and adding some syncopation. This subtle deviation from an otherwise consistent pattern functions in two possible ways. The first function is to lighten the mood of the B section. Introducing a hint of syncopation, in conjunction with the playful skipping thirds in mm. 18 and 22, imparts a sense of vitality in an otherwise sullen surrounding. The second function is that of gradual revelation and requires a lengthier discussion below.

As suggested before, I believe that Schubert reflects the revelations of the text by interrupting regularity with surprises. One of his primary musical devices for creating surprise is modal shifting. The first example of this occurs in m. 9 when the previous B<sup>b</sup> minor material suddenly switches to B<sup>b</sup> major. This revelation reflects the subject of the text as it turns from dark dreams to the vision of a living portrait. As discussed before, this raises issues of ambiguity in terms of the predominant mode. Example 7 shows harmonic motion in section A:

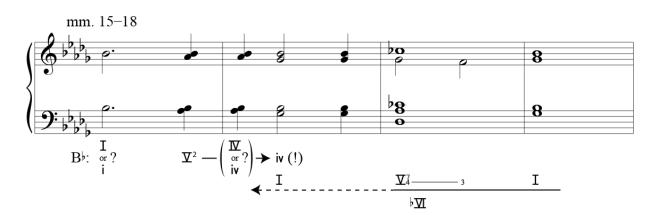


the "lack" of subdominant harmonies in B-flat minor is a valuable addition to our knowledge of this

Example 7: Harmonic motion in section A of Schubert's *Ihr Bild* showing the lack of work. subdominant in B<sup>b</sup> minor and the sudden modal switch to B<sup>b</sup> major.

As argued above, B<sup>b</sup> major appears to be the more firmly established mode with the inclusion of the subdominant. Importantly, the cadence in B<sup>b</sup> major is echoed in the piano part in mm. 12–14 removing any doubts about the mode.

Or does it? The unison B<sup>b</sup> minor statements of the opening bars still loom large in the listener's ear and the beginning of section B appears to play with these ambiguities to great effect. Measures 14–15 recall the opening both in the use of monophonic texture and the pitch B<sup>b</sup>. Example 8 shows how the harmony unfolds in a way that promotes a gradual transformation from B<sup>b</sup> to the submediant G<sup>b</sup> major:



Example 8: A gradual transformation from B<sup>b</sup> to the submediant G<sup>b</sup> major in Schubert's *Ihr Bild*.

The whole tone dyad on beat four of bar 15 departs from the monophonic texture and raises the question of mode. This sonority follows close on the heels of two prominent B<sup>b</sup> major cadences so it would not be surprising if the dyad opened up to a G<sup>t</sup> and B<sup>b</sup> in m. 16 suggesting the major subdominant. Instead, Schubert's G<sup>b</sup> in m. 16 promotes a rootless minor subdominant and retrospectively confirms the suspicion that m. 15 was in B<sup>b</sup> minor. The rootless minor subdominant is also purposefully ambiguous allowing Schubert to move to a cadence in G<sup>b</sup> major. This second revelation colors the listener's understanding of m. 16 to view the rootless minor subdominant of B<sup>b</sup> ([E<sup>b</sup>]–G<sup>b</sup>–B<sup>b</sup>) as a tonic of G<sup>b</sup> major (G<sup>b</sup>–B<sup>b</sup>– [D<sup>b</sup>]) instead. To further complicate this retrospective reevaluation, the following four measures (mm. 19–22) begin again with monophony and sound again like B<sup>b</sup> minor. The likely cause is that G<sup>b</sup> is not a true modulation and instead acts as a secondary tonal level that exists only locally in both mm. 16–18

and 20–22. The flimsiness of G<sup>b</sup> major here likely mirrors the hallucination of the portrait smiling and crying. The final revelation in section B is the sudden return to the funeral bell tolls from the introduction now harmonized in B<sup>b</sup> minor.

The final and most important musical revelation is the contrast between the two piano statements than conclude both A sections. The first piano statement reiterates the B<sup>b</sup> major cadence found immediate preceding mm. 12–14. The second piano statement provides a surprising ending by immediately shifting modes and cadencing in B<sup>b</sup> minor:

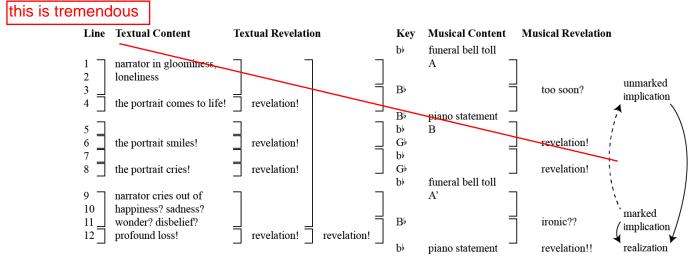


Example 9: Harmonic reduction of the two contrasting piano statements that provide a musical revelation in Schubert's *Ihr Bild*.

By altering the mode of the subdominant in the chromatic bass line decent, Schubert is able to cast a minor shadow across the entire piece. This sudden turn of events reflects the dramatic revelation in the text that the narrator's beloved is forever lost to him.

This would constitute the conclusion of this analysis if it were not for the nagging sense that Schubert's dramatic timing is somehow off. I suggested at the outset that Schubert not only appropriately sets the text according to Heine's parameters but also comments on it. He does this by deviating from the poem's revelation structure shown in Example 3. Instead of presenting the first stanza's pattern of three lines and then a fourth line revelation, Schubert places the musical revelation on the third and fourth lines with the sudden switch to the major mode in mm. 8–14. While the music generally follows the emotional flow of the poem, moving from minor-keyed dark dreams to a major-keyed living portrait, the music appears to lack the

dramatic punch of Heine's fourth line revelation. I hear this as an unmarked implication that will



be recognized and realized later (see Example 10):

Example 10: A diagram comparing textual revelation with musical revelation showing implication and realization in Schubert's *Ihr Bild*.

The B section of the piece follows Heine's revelation scheme. Schubert uses the gradual transformation from  $B^{\flat}$  minor to  $G^{\flat}$  major as a parallel to both the smiling and crying portrait. The tone of the section is both melancholy and sweet, interrupted only by the sounding of the funeral bell toll (mm. 23–24). The return of the A section sustains  $B^{\flat}$  minor. This makes more sense musically than poetically. Heine's third stanza leaves room for ambiguous interpretation. Schubert appears to ignore this and opt for the somber, monophonic music, prefiguring the end of the work.

The greatest surprise occurs in mm. 30–43. Heine's pronunciation of extreme loss is accompanied by a cadence in B<sup>b</sup> major. This highly ironic juxtaposition is not only marked for memory but triggers a retrospective connection between the unmarked implication stemming from the textual incongruity in m. 8. Both of these implications are forcefully and fully realized in the *forte* piano statement that concludes the work. In Schubert's setting, the emotional

reaction to the text lags behind the content of the text. This angle functions to both increase the emotional impact of the text and retrospectively recast the entire work. Here at the conclusion of the piece, the listener understands that the preoccupations with both  $B^{\flat}$  major and  $G^{\flat}$  major were passing daydreams in the light of a  $B^{\flat}$  minor reality. Wonderful

Drawing from the regularity of the text, Schubert's ternary song *Ihr Bild* lulls the listener into a fantasy world of safety, regularity, and ultimately pity: pity for the beloved lost, pity for the narrator left behind. Reality is a break from regularity, a world of surprises, and an ironic major mode that ultimately triumphs in minor. Schubert's song conveys both these realms by using musical revelations that both conform to and comment upon the text. In this way, Heine's text expands in dimension through Schubert's music in both its meaning and emotional impact.

A this is professional writing at its best--musical, sensitive, articulate, critical, clear. thanks! DBS